COMPLIANCE WITH THIS PUBLICATION IS MANDATORY

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This directory implements Air Force Policy Directive (AFPD) 90-2, *Inspector General--The Inspection System;* Air Force Instruction (AFI) 90-201, Air Combat Command Supplement (ACC SUP) 1, Addendum J, *Unit Compliance Inspections*; and ACC Instruction (ACCI) 90-202, *Compliance and Standardization Requirements List (C&SRL) Program*. This directory lists compliance items and support operational guidance residing in AFI 10-701, *Operations Security* and AFI 10-701 ACC SUP, *Operations Security*. This directory will be used by the Inspector General (IG) team for evaluating unit compliance with public law, executive orders, Department of Defense (DoD) and Air Force (AF) directives. This directory applies to all ACC units, Air Force Reserve Command (AFRC) units, and only to the Air National Guard (ANG) United States in Title 10 status. It does not apply to AFRC units which are not an associate program. Ensure that all records created as a result of processes prescribed in this publication are maintained in accordance with Air Force Manual 33-363, *Management of Records*, and disposed of in accordance with Air Force Records Information Management System (AFRIMS) Records Disposition Schedule (RDS) located at **https://www.my.af.mil/gcss-af61a/afrims/afrims**/. Contact supporting records managers as required. Send comments and suggested improvements to this directory on AF Form 847, *Recommendation for Change of Publication*, through channels, to HQ ACC/A3I, 205 Dodd Blvd, Ste 101, Langley AFB, VA, 23665-2789.

Office of Collateral Responsibility for this directory is HQ ACC/IG, Inspections Division (ACC/IGI).

1. General.

1.1. C&SRL are the primary lists used during HQ ACC/IG Unit Compliance Inspections (UCI) to access unit compliance with guidance governing each particular area of operations. Items in this C&SRL are defined by the ACC staff and, as applicable, ANG and AFRC (for associate units only), staffs, and based upon existing statutory requirements, Executive Orders, DoD directives, AF and ACC guidance, and mission areas deemed critical by AF, ACC, and/or ANG leadership in assessing and assuring unit organizational health and/or performance.   The objective of the C&SRL is to identify deficiencies that preclude attainment of required capabilities.

1.2. Critical Compliance Objectives (CCOs) represent the highest priority of inspection items and are fundamentally derived from the Common Core Compliance Areas (CCCAs) and the mission areas defined in AFI 90-201, *Inspector General Activities*. Lower tier Core and General Compliance Items may be stand-alone or subordinate to a CCO. During the UCI, it is the intent of the evaluation team to inspect, as a minimum, 100% of the CCOs that apply to the inspected unit. However, C&SRL items listed do not limit the scope of the inspection. Critical, core, and general compliance criteria are defined as follows:

1.2.1. **Critical Compliance Objective (CCO).** An item or area where unit failure to comply with established guidance could result in significant liabilities, loss of life, serious injury or significant mission impact. Key mission areas are:

- Airman development programs
- Ability to deploy forces
- Ability to employ forces

CCOs are shown in **BOLD AND ALL CAPS FORMAT.**

1.2.2. **Core Compliance Item (CCI).** An item or area that requires special vigilance and is important to the overall performance of the unit, but are not deemed "Critical." Non-compliance would result in some negative impact on mission performance or could result in injury or possible litigations. CCIs are shown in ALL CAPS FORMAT.

1.2.3. **General Compliance Item (GCI).** An item or area deemed fundamental to successful overall performance of the unit, but non-compliance would result in minimal impact on mission accomplishment or would be unlikely to result in injury or possible litigation. GCIs are shown in sentence case format.

Table 1. OPSEC Compliance Items.

ITEM NO.	ITEMS	REFERENCE
Wing and Below Functions and Responsibilities		
CCO-1	**HAS THE UNIT COMMANDER (CC) ESTABLISHED AN OPSEC PROGRAM IAW GOVERNING AF AND COMMAND GUIDANCE?**	**AFI 10-701, PARA 1.4.1, 1.4.15.1, AND 1.4.16.1**
1.1	HAS THE UNIT/CC ISSUED OPSEC POLICY AND GUIDANCE TO ALL ASSIGNED PERSONNEL?	AFI 10-701, PARA 1.4.15.1
1.2	Has the unit/CC: - Appointed, in writing, a primary and alternate OPSEC program manager (PM) or coordinator to manage the program?	AFI 10-701, para 1.4.15.2

ITEM NO.	ITEMS	REFERENCE
	- Forwarded the appointment letter to the next higher headquarter's (HHQ) OPSEC PM?	
1.2.1	Is the PM and alternate assigned to an operations or plans element of the organization (if applicable)?	AFI 10-701, para 1.2.1
1.2.2	Are the billets the PM and alternate are assigned designated with the OPSEC special experience identifier (SEI), as required? (*Note: Wing (WG)-level only*)	AFI 10-701, ACCSUP, para 1.4.15.2.2 and 1.4.16
1.2.3	Have the PM and alternate attended required formal training (any one of the AF Signature Management Course; Interagency OPSEC Support Staff OPSE-2500, OPSEC Analysis and Program Management Course; or OPSEC-2400, DoD OPSEC Course)? (*Note: WG PMs and alternates only*)	AFI 10-701, para 4.3.2.1
1.3	HAS THE UNIT/CC ESTABLISHED AN OPSEC WORKING GROUP (OWG) OR INTEGRATED INTO THE SECURITY ADVISORY GROUP?	AFI 10-701, PARA 1.4.15.6
1.3.1	Does the OWG support OPSEC awareness and functional training through the WG exercise program?	AFI 10-701, ACCSUP, para 1.4.15.6.2
1.4	HAS AN OPSEC PROGRAM SELF-ASSESSMENT BEEN CONDUCTED?	AFI 10-701, PARA 5.2.1
1.4.1	Were the self-assessment results reported to the next HHQ?	AFI 10-701, para 5.2.2 and 5.6.1
1.4.2	Was the report signed by the unit/CC or the designated representative?	AFI 10-701, para 5.6.2
CCO-2	**IS OPSEC PROPERLY INTEGRATED INTO TRAINING AND EXERCISES TO PREPARE AIRMEN TO EMPLOY MEASURES DURING CONTINGENCY OPERATIONS?**	**AFI 10-701, PARA 1.4.15.1**
2.1	HAVE REALISTIC OPSEC TRAINING OBJECTIVES AND SCENARIOS BEEN DEVELOPED AND EXECUTED IN THE WG EXERCISE PROGRAM?	AFI 10-701, ACCSUP, PARA 1.4.15.6.2
2.1.1	Are objectives developed from tasks on appropriate AF, MAJCOM, Numbered Air Force (NAF), WG or Agency Mission Essential Task Lists?	AFI 10-701, ACCSUP, para 1.4.15.6.2
2.1.2	Are scenarios realistically based on current estimates of expected threat(s)?	AFI 10-701, para 4.1. , 4.2.3 and AFI 10-701, ACCSUP, para 1.4.15.6.2
2.1.3	Does the PM and alternate assist in developing exercise master scenario events listing to trigger the OPSEC planning process and the execution of measures?	AFI 10-701, ACCSUP, para 1.4.16.5.2

ITEM NO.	ITEMS	REFERENCE
2.1.4	Is unit member's performance in executing directed OPSEC measures evaluated to determine if: - Suspected deficiencies actually exist? - Previously identified deficiencies have been resolved?	AFI 10-701, ACCSUP, para 1.4.15.6.2
2.2	Does the PM or alternate provide functional trainers and supervisors standardized, mission specific OPSEC information for training materials?	AFI 10-701, ACCSUP, para 1.4.16.5.3 and 1.4.16.11
2.2.1	Does the PM or alternate provide training materials for the base Personal Wireless Communication Systems managers to train unit managers to brief customers on OPSEC requirements?	AFI 33-106, para 4.7.11, 4.12.3.13, and A5.1.3
CCO-3	**ARE OPSEC REQUIREMENTS INTEGRATED INTO THE UNIT'S OPERATIONS AND CONTINGENCY PLANS AND OPERATIONS PROCEDURES?**	**AFI 10-701, PARA 1.4.15.1 AND 1.4.15.3**
3.1	HAS THE PM OR ALTERNATE ENSURED PROCEDURES ARE IN PLACE TO IDENTIFY AND CONTROL OF CRITICAL INFORMATION AND OPERATIONS INDICATORS?	AFI 10-701, PARA 1.4.16.8
3.1.1	Have critical information lists been developed?	AFI 10-701, para 1.4.15.4
3.1.2	Has the WG/CC ensured OPSEC assessments are conducted to support operational missions?	AFI 10-701, para 1.4.15.5
3.2	Is the OPSEC process accomplished for Expeditionary Site Planning (if applicable)?	AFI 10-404, Atch 33
3.3	Does the OWG support the team chief of the mission planning cell?	AFI 10-701, ACCSUP, para 1.4.15.6.1 AFTTP 3-1V1
3.4	Does the OPSEC PM assist unit deployment manager by providing standardized, mission specific awareness of threats en-route and personal responsibilities to protect associated mission critical information and indicators for OPSEC information?	AFI 10-701, para 1.4.16.12 and AFI 10-701, ACCSUP, para 1.4.16.12
3.5	If a tenant unit, does the OPSEC coordinator closely coordinate/ integrate with the host WG OPSEC initiatives and working group?	AFI 10-701, para 1.4.17.3
AFFOR and AOC Functions and Responsibilities		
CCO-4	**ARE THE AIR FORCE FORCES (AFFOR) AND AIR AND SPACE OPERATIONS CENTER (AOC) ORGANIZED TO INTEGRATE OPSEC INTO THEIR PLANNING AND EXECUTION MISSIONS?**	**AFI 10-701, ACCSUP, PARA 1.4.15.6.2**
4.1	Are the billets of NAF, AFFOR, and AOC OPSEC positions identified with the appropriate officer or enlisted OPSEC SEI?	AFI 10-701, ACCSUP, para

ITEM NO.	ITEMS	REFERENCE
		1.4.16
4.2	Does the OPSEC duty officer provide real time OPSEC support?	AFI 13-1 AOC V3, para 5.2.2.3.10.7
4.3	Does the Information Operations (IO) Team identify critical OPSEC indicators inherent to air operations and mechanisms for protecting them?	AFI 13-1 AOC V3, para 8.3.12
4.4	Are OPSEC measures directed in the Joint Air Operations Plan, Air Operations Directive, and other Combined/Joint Forces Air Component Commander guidance to change/modify operational procedures that reveal critical information and operational indicators commensurate with the threat (i.e. electromagnetic emission control, changing callsigns, etc)?	AFI 13-1 AOC V3, para 8.3.12
4.5	Does the IO Team provide OPSEC guidance for integration into the special instructions?	AFI 13-1 AOC V3, Para 8.3.12
4.6	Are performance and effectiveness measures identified, collected, and assessed?	AFI 10-701, paras 3.1.1 and 3.2

2. Adopted Form: AF Form 847, *Recommendation for Change of Publication*

                                        JOHN D. W. CORLEY, General, USAF
                                        Commander

Attachment 1

GLOSSARY OF REFERENCES AND SUPPORTING INFORMATION

References

AFI 10-404, (**http://www.e-publishing.af.mil/shared/media/epubs/AFI10-404.pdf**), *Base Support and Expeditionary Site Planning*

AFI 10-701, (**http://www.e-publishing.af.mil/shared/media/epubs/AFI10-701.pdf**), *Operations Security (OPSEC)*

AFI 10-701, ACC SUP*, (**https://wwwmil.acc.af.mil/accpubs/pubs/10series/AFI10-701** ACCSUP.pdf), *Operations Security (OPSEC)*

AFI 13-1AOC Vol3, (**http://www.e-publishing.af.mil/shared/media/epubs/AFI13-1AOCV3.pdf**), *Operational Procedures-Air and Space Operations Center*

AFI 33-106, (**http://www.e-publishing.af.mil/shared/media/epubs/AFI33-106.pdf**), *Managing High Frequency Radios, Personal Wireless Communication Systems, and the Military Affiliate Radio System*

AFMAN 33-363, (**http://www.e-publishing.af.mil/shared/media/epubs/AFMAN33-363.pdf**), *Management of Records*

AFPD 90-2, (**http://www.e-publishing.af.mil/shared/media/epubs/AFPD90-2.pdf**), *Inspector General-The Inspection System*

AFI 90-201, (**http://www.e-publishing.af.mil/shared/media/epubs/AFI90-201.pdf**), *Inspector General Activities*

AFI 90-201, ACC SUP 1, Addendum J*, (**https://wwwmil.acc.af.mil/accpubs/pubs/90series/AFI90-201** ACCSUP1ADMJ.pdf), *Unit Compliance Inspection (UCI)*

ACCI 90-202*, (**https://wwwmil.acc.af.mil/accpubs/pubs/90series/ACCI90-202.pdf**), *Compliance and Standardization Requirements List (C&SRL) Program*

(*****) *ACC publications available through the AF Portal (***https://www.my.af.mil/gcss-af/afp40/USAF/ep/home.do***) by sequentially navigating via the "MAJCOM; ACC; Publications" selections*

Abbreviations and Acronyms

ACC—-Air Combat Command

ACC/A3—-HQ Air Combat Command, Director of Air and Space Operations

ACC/A3I—-HQ ACC/A3, Information Operations Division

ACC/IG—-Air Combat Command, Inspector General

ACC/IGI—-HQ ACC/IG, Inspections Division

ACCDIR—-Air Combat Command Directory

ACCI—-Air Combat Command Instruction

AF—-Air Force

AFFOR—-Air Force Forces

AFI—-Air Force Instruction

AFMAN—-Air Force Manual

AFPD—-Air Force Policy Directive

AFRC—-Air Force Reserve Command

AFTTP—-Air Force Tactics, Techniques, and Procedures

ANG—-Air National Guard

AOC—-Air and Space Operations Center

C&SRL—-Compliance and Standardization Requirements List

CC—-Commander

CCCA—-Common Core Compliance Area

CCI—-Core Compliance Item

CCO—-Critical Compliance Objective

DoD—-Department of Defense

GCI—-General Compliance Item

HHQ—-Higher Headquarters

HQ—-Headquarters

IAW—-In Accordance With

IO—-Information Operations

NAF—-Numbered Air Force

OPR—-Office of Primary Responsibility

OPSEC—-Operations Security

OWG—-OPSEC Working Group

PM—- OPSEC Program Manager (Wing-level or higher)

SEI—-Special Experience Identifier

SUP—-Supplement

UCI—-Unit Compliance Inspection

WG—-Wing

Terms

Associate Unit— For purposes of this publication, an associate unit is defined as where the ACC (Active Duty component) unit retains principal responsibility for weapon system or systems, which it shares with one or more reserve component units.

Compliance and Standardization Requirements List (C&SRL)— A comprehensive list of items drawn from numerous directives that help determine unit compliance with public law, executive orders, Department of Defense, and Air Force directives.

Core Compliance Item (CCI)— An item or area that requires special vigilance and is important to the overall performance of the unit, but are not deemed "Critical." Non-compliance would result in some negative impact on mission performance or could result in injury or possible litigations.

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